

# On $\Pi_2$ theories of hp-T degrees of low sets

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## Abstract

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If  $I$  is a set of  $\Delta_2^0$  low sets which is closed under recursive join and downward closed under  $\leq_T$ , then it is shown that the  $\Pi_2$  theory of the honest polynomial time Turing degrees of the sets in  $I$  is the same as the  $\Pi_2$  theory of the polynomial time Turing degrees of the recursive sets, and so that it is decidable.

## 1. Introduction

The honest polynomial-time Turing (hp-T) reducibility,  $\leq_T^{hp}$ , was first introduced by Homer (e.g. [6]). It has been known that the structural properties of the hp-T degrees of the recursive sets and those of the  $\Delta_2^0$  sets are closely related to the  $P = ?NP$  problem.

Homer [6, 7] and Homer and Long [8] proved assuming  $P = NP$  that there is a  $\Delta_3^0$  set which is  $\leq_T^{hp}$  minimal, and then Ambos-Spies [2] improved their results by

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showing under the same assumption that there is a minimal one which is recursively enumerable. It is conjectured that the existence of such sets is equivalent to  $P = NP$  (see [6, 8]). Downey [5], on the other hand, recently proved that there is no  $\leq_T^{hp}$ -minimal low set, where a set  $A$  is called *low* if  $A' \equiv_T \emptyset'$ . Furthermore, in [4], we have extended Downey's result and shown that the strong minimal pair theorem holds for the  $hp$ -T degrees of the low sets, which implies the density of the  $hp$ -T degrees of the low sets.

**Theorem 1.1** (The strong minimal pair theorem, Aoki et al. [4]). *Given low sets  $A$  and  $B$  with  $A <_T^{hp} B$ , there are two sets  $C$  and  $D$  such that  $A <_T^{hp} C <_T^{hp} B$ ,  $A <_T^{hp} D <_T^{hp} B$  and*

$$\deg_T^{hp}(C) \wedge \deg_T^{hp}(D) = \deg_T^{hp}(A).$$

Ambos-Spies [2] also proved that if  $P = NP$  then the theory of  $R_T^{hp}$  is different from the theory of  $R_T^p$ , where  $R_T^{hp}$  and  $R_T^p$  are the ordered structures consisting of the  $hp$ -T degrees and the  $p$ -T degrees of the recursive sets, respectively: for any recursive set  $A \notin P$ , there is a recursive set  $B \leq_T^p A$  such that  $B \notin P$  and  $B$  does not  $\leq_T^p$ -help  $A$ , while if  $P = NP$  then there is a recursive set  $A \notin P$  which is  $\leq_T^{hp}$ -helped by all of its  $\leq_T^{hp}$ -predecessors which are not in  $P$ . If we drop the assumption  $P = NP$ , then it is not known whether the theory of  $R_T^{hp}$  is different from the theory of  $R_T^p$  (see [2]). In this paper, we shall apply the method of Shore and Slaman [11] for deciding the  $\Pi_2$  theory of  $R_T^p$ , i.e., the  $\forall\exists$ -sentences true in  $R_T^p$ , to the  $\Pi_2$  theories of ideals of  $hp$ -T degrees of low sets. Suppose  $I$  is a nonempty collection of low sets and satisfies the following conditions:

- (1) if  $A \in I$  and  $B$  is recursive in  $A$ , then  $B \in I$ ,
- (2) if  $A, B \in I$ , then  $A \oplus B \in I$ ,

where  $A \oplus B$  denotes the recursive join of  $A$  and  $B$ . Then,  $I = \{\deg_T^{hp}(A) : A \in I\}$  forms an ideal of  $\mathcal{D}_T^{hp}$ , the  $hp$ -T degrees of all sets. In this paper, we shall prove the following theorem.

**Theorem 1.2.** *Consider any ideal  $I$  described above. The  $\Pi_2$  theories of  $\langle I, \leq_T^{hp} \rangle$  and  $R_T^p$  are identical. In particular, as to the  $\Pi_2$  sentences, the ordered structures  $R_T^{hp}$  and  $R_T^p$  cannot be distinguished.*

Our proof of the theorem depends on the logical analysis of the  $\Pi_2$  sentences given by Shore and Slaman [11] (see also [10]). They have shown that the  $\Pi_2$  theory of  $R_T^p$  is decidable by proving two key theorems: the lattice embedding theorem and the extension theorem of embeddings. Their analysis shows that for any upper semilattice if these two theorems are once established then the  $\Pi_2$  theory of the upper semilattice is decidable; furthermore, the same decision procedure as that for the  $\Pi_2$  theory of  $R_T^p$  is applied to this theory, and these two theories coincide. Thus, to prove our theorem, it is sufficient to demonstrate the lattice embedding theorem and the extension theorem for  $\langle I, \leq_T^{hp} \rangle$ .

This paper is organized as follows. In Section 2, we introduce the basic notions of the hp-T reducibility. In particular, the lattice embedding theorem for  $\langle I, \leq_T^{\text{hp}} \rangle$  is deduced from the same theorem for  $\mathbf{R}_T^p$  as Ambos-Spies and Yang [3] noticed. In Section 3, we review the extension theorem in the form given in [11]. We list the requirements needed to extend a given embedding and, in Section 4, we shall construct an extension which satisfies these requirements. Finally, in Section 5, we give a remark on the density of the p-T degrees of arbitrary sets and some open questions.

Throughout this paper, we fix a collection  $I$  of low sets which satisfies the conditions (1) and (2) above.

## 2. Honest polynomial-time Turing degrees

Let  $\Sigma = \{0, 1\}$ , and let  $\Sigma^*$  be the set of finite strings over  $\Sigma$  with natural ordering, whose elements are denoted by  $x, y, z, \dots$ . We use  $A, B, C, \dots$  to denote subsets of  $\Sigma^*$ . Let  $|x|$  denote the length of  $x$  and  $\langle, \rangle$  be a p-time invertible bijection from  $\Sigma^* \times \Sigma^*$  to  $\Sigma^*$ . We may assume that  $|x|, |y| \leq |\langle x, y \rangle|$ . An oracle Turing machine (OTM)  $\Phi$  is *polynomially honest* if there is a polynomial  $p$  such that on input  $x$ ,  $\Phi$  queries the oracle only on strings  $y$  with  $|x| \leq p(|y|)$ .  $A$  is *p-T reducible* to  $B$ ,  $A \leq_T^p B$ , if there is a polynomial-time bounded deterministic OTM  $\Phi$  such that  $A = \Phi(B)$ ; furthermore, if  $\Phi$  is polynomially honest, then  $A$  is said to be *hp-T reducible* to  $B$ ,  $A \leq_T^{\text{hp}} B$ . Note that if  $A \leq_T^{\text{hp}} B$ , the oracle  $B$  can be queried only on strings  $y$  whose lengths are polynomially related to  $|x|$ , i.e.,  $|y| \leq p(|x|)$  and  $|x| \leq p(|y|)$  for some polynomial  $p$ . This contrasts with the p-T reducibility, where  $|y|$  is polynomially bounded in  $|x|$  but, in general, not vice versa.

$A$  and  $B$  have the same hp-T degrees if  $A \leq_T^{\text{hp}} B$  and  $B \leq_T^{\text{hp}} A$ . The hp-T degree of  $A$  is denoted by  $\deg_T^{\text{hp}}(A)$ . The p-T degree of  $A$ ,  $\deg_T^p(A)$ , is defined in the same way. The recursive join  $A \oplus B$  of sets  $A$  and  $B$  is defined by

$$A \oplus B = \{0x : x \in A\} \cup \{1x : x \in B\}.$$

The hp-T degree of  $A \oplus B$  is the least upper bound of  $\deg_T^{\text{hp}}(A)$  and  $\deg_T^{\text{hp}}(B)$ .

$\text{Cyl}(A) = A \times \Sigma^*$  is called the *p-cylindrification* of  $A$ . We say that  $A$  is a *p-cylinder* if there is a p-cylindrification  $B$  to which  $A$  is p-isomorphic, i.e., there is a one to one onto p-time computable function  $f: \Sigma^* \rightarrow \Sigma^*$  whose inverse is also p-time-computable such that  $A = f(B)$ . The following lemma is used to translate the results on the p-T degrees to those on the hp-T degrees.

**Lemma 2.1** (Ambos-Spies [2, 3]). *Let  $A, B$ , and  $C$  be any sets.*

- (i) *If  $A \leq_T^{\text{hp}} B$  then  $A \leq_T^p B$ .*
- (ii)  *$A \equiv_T^p \text{Cyl}(A)$ .*
- (iii) *If  $A$  is a p-cylinder then  $B \leq_T^{\text{hp}} A$  iff  $B \leq_T^p A$ .*
- (iv)  *$\deg_T^p(\text{Cyl}(A)) \vee \deg_T^p(\text{Cyl}(B)) = \deg_T^p(\text{Cyl}(A \oplus B))$ .*

Moreover, if  $\deg_T^p(A) \wedge \deg_T^p(B) = \deg_T^p(C)$ , then

$$\deg_T^{\text{hp}}(\text{Cyl}(A)) \wedge \deg_T^{\text{hp}}(\text{Cyl}(B)) = \deg_T^{\text{hp}}(\text{Cyl}(C)).$$

**Proof.** (i) and (ii) are trivial.

For (iii), we may assume that  $A = \text{Cyl}(C)$  for some set  $C$ . For nontrivial “if” part, assume  $B \leq_T^p A$ . Since  $A$  is p-T reducible to  $C$ , so is  $B$ . Let  $\Phi$  be a p-time OTM such that  $B = \Phi(C)$ . In the computation of  $\Phi(C, x)$  on input  $x$ , we replace each query “ $y \in C$ ?” with the equivalent query “ $\langle y, x \rangle \in A$ ?”. Note that  $|x| \leq |\langle y, x \rangle|$  and  $|\langle y, x \rangle|$  is polynomially bounded by  $|x|$ . In this way, we can obtain an hp-T reduction of  $B$  to  $A$ .

Part (iv) is proved immediately by (i) and (iii).  $\square$

**Corollary 2.2** (the lattice embedding theorem). *Every finite lattice is embeddable into  $R_T^{\text{hp}}$  preserving  $\vee$  and  $\wedge$ , and hence it is embeddable into  $\langle I, \leq_T^{\text{hp}} \rangle$ .*

**Proof.** The above lemma shows that the map  $\deg_T^p(A) \mapsto \deg_T^{\text{hp}}(\text{Cyl}(A))$  gives an isomorphism from  $R_T^p$  into  $R_T^{\text{hp}}$ .  $\square$

### 3. Extension of an embedding

In this section, let  $\mathcal{J}$  denote the ordered structure  $\langle I, \leq_T^{\text{hp}} \rangle$ , where

$$I = \{\deg_T^{\text{hp}}(A) : A \in I\}.$$

Then,  $\mathcal{J}$  is an upper semilattice since  $I$  is closed under  $\oplus$ .

**Lemma 3.1.** *Given  $a_1, \dots, a_n \in I$ , there is a  $b \in I$  such that  $a_1 \vee \dots \vee a_n < b$ .*

**Proof.** Let  $a = a_1 \vee \dots \vee a_n$  and suppose  $a = \deg_T^{\text{hp}}(A)$  with  $A \in I$ . By a simple diagonal argument, we can construct a set  $B$  which is recursive in  $A$  but not hp-T reducible to  $A$ . Then  $A \oplus B \in I$  and  $A <_T^{\text{hp}} A \oplus B$ .  $\square$

Suppose  $M = \{0, a_1, \dots, a_{n-1}, b_1, \dots, b_m\}$  is a finite partially ordered set with order  $\leq$ , where 0 is the least member, and suppose  $L = \{0, a_1, \dots, a_{n-1}\}$  forms a lattice with respect to  $\leq$ .  $a_i \vee a_j$  denotes the least upper bound of  $\{a_i, a_j\}$  in the lattice  $L$  and  $a_i \wedge a_j$  the greatest lower bound. Suppose, furthermore,  $b_1, \dots, b_m$  satisfies the following conditions:

- (1) if  $a_i, a_j \leq b_k$ , then  $a_i \vee a_j \leq b_k$ ;
- (2) if  $b_k \leq a_i, a_j$ , then  $b_k \leq a_i \wedge a_j$ .

In these circumstances, the extension theorem for  $\mathcal{J}$  is stated as follows (see [11]).

**Theorem 3.2.** *Let  $M$  and  $L$  be given as above. If  $f: L \rightarrow \mathcal{J}$  is an order isomorphism of  $L$  into  $\mathcal{J}$  such that  $f(0) = \deg_T^{\text{hp}}(\emptyset)$ , then there exists an order isomorphism of  $M$  into  $\mathcal{J}$  which extends  $f$ .*

Hereafter, we set  $a_0=0$ . Suppose  $f: L \rightarrow \mathcal{I}$  is a given embedding, and for each  $i$  ( $0 \leq i < n$ ), let  $A_i$  be an element of  $\mathcal{I}$  such that  $f(a_i) = \deg_T^{\text{hp}}(A_i)$ . Given  $b_j$ , there is, by (1), a greatest  $a_i$  below  $b_j$ , and if there is an  $a_i$  such that  $b_j \leq a_i$  then, by (2), there is a smallest one. We use  $a_{G(j)}$  and  $a_{S(j)}$  to denote these elements, respectively,

$$a_{G(j)} = \bigvee \{a_i : a_i \leq b_j\},$$

$$a_{S(j)} = \bigwedge \{a_i : b_j \leq a_i\}.$$

When there is no  $a_i$  such that  $b_j \leq a_i$ , we set  $S(j) = n$ . By Lemma 3.1, there is an  $A_n \in \mathcal{I}$  such that  $A_0 \oplus \dots \oplus A_{n-1} <_T^{\text{hp}} A_n$ . We add a new element  $a_n$  to  $M$ , and extend  $\leq$  and  $f$  on  $M \cup \{a_n\}$  by putting  $a_n$  above all elements of  $M$  and  $f(a_n) = \deg_T^{\text{hp}}(A_n)$ . As in [11], we shall construct sets  $C_k \leq_T^{\text{hp}} A_{S(k)}$  and then set  $B_k = A_{G(k)} \oplus \bigoplus_{b_j \leq b_k} C_j$ . The desired extension will be defined by setting  $f(b_j) = \deg_T^{\text{hp}}(B_j)$ .  $B_k \in \mathcal{I}$  follows from the closure property of  $\mathcal{I}$ . It is clear from the definition that if  $b_j \leq b_k$  then  $B_j \leq_T^{\text{hp}} B_k$ . Therefore, to ensure that the extension  $f$  so defined is an order isomorphism on  $M \cup \{a_n\}$ , the following conditions must be satisfied:

- $R_1$ : if  $a_i \not\leq b_j$ , then  $A_i \not\leq_T^{\text{hp}} B_j$ ;
- $R_2$ : if  $b_i \not\leq b_j$ , then  $B_i \not\leq_T^{\text{hp}} B_j$ ;
- $R_3$ : if  $b_i \not\leq a_j$ , then  $B_i \not\leq_T^{\text{hp}} A_j$ .

Let  $\{\Phi_e, p_e\}_e$  be a recursive enumeration of the polynomially honest OTMs. Without loss of generality, we may assume each  $a_j$  is equal to some  $b_k$  in  $M$  since we may add new elements  $b_{m+1}, \dots, b_{m+n}$  to  $M$  and extend the diagram of  $M$  by claiming that each  $b_{m+j}$  is equal to  $a_j$ . Then the requirements  $R_3$  can be replaced by the new requirement of type  $R_2$ :

$$\text{if } b_i \not\leq b_{m+j}, \text{ then } B_i \not\leq_T^{\text{hp}} B_{m+j}.$$

Thus, hereafter, we consider only the requirements of forms  $R_1$  and  $R_2$ . To ensure the above conditions, it is sufficient to meet all requirements of the following forms:

- $R(e, i, j, 1)$ :  $A_i \neq \Phi_e(B_j)$ , where  $a_i \not\leq b_j$ ;
- $R(e, i, j, 2)$ :  $C_i \neq \Phi_e(B_j)$ , where  $b_i \not\leq b_j$ .

In the next section, we shall construct  $C_1, \dots, C_m \in \mathcal{I}$  to satisfy all of the above requirements.

#### 4. Construction of an extension

Before giving the details of the construction, we first consider the simplest case where all  $A_i$ 's are recursive. We order the requirements with priority. A requirement  $R(e_1, i_1, j_1, k_1)$  has stronger priority than a requirement  $R(e_2, i_2, j_2, k_2)$  if  $(e_1, i_1, j_1, k_1) < (e_2, i_2, j_2, k_2)$  in the lexicographic order. We define a strictly increasing sequence  $\{l_n\}_n$  and sets  $C_1, \dots, C_m$  by recursion as follows.

Let  $l_0 = 0$ .

Suppose  $l_n$  and  $C_k \upharpoonright \{z : |z| < l_n\}$  are defined. We define  $l_{n+1}$  and  $C_k \upharpoonright \{z : |z| < l_{n+1}\}$  by cases.

*Case 1:* The  $n$ th requirement has the form  $R(e, i, j, 1)$ . Then we see that  $A_i \not\leq_T^{\text{hp}} A_{G(j)}$  since  $a_i \not\leq b_j$ , and thus there is an  $x$  such that  $p_e(l_n) \leq |x|$  and  $A_i(x) \neq \Phi_e(A_{G(j)}, x)$ . Take the least such  $x$ . Note that if  $\Phi_e$  queries  $A_{G(j)}$  on  $y$  in the computation of  $\Phi_e(A_{G(j)}, x)$ , then  $l_n \leq |y| \leq p_e(|x|)$ . Let  $l_{n+1} = l_n$  plus the total number of steps needed to find the  $x$  and verify the inequality  $A_i(x) \neq \Phi_e(A_{G(j)}, x)$ , in which the steps needed to compute  $A_i(z)$  for  $z$  less than or equal to  $x$  must be included. We set, for every  $k$ ,  $C_k(z) = 0$ , so  $B_j = A_{G(j)}$  on the interval  $\{z: l_n \leq |z| < l_{n+1}\}$ . Then we have  $A_i(x) \neq \Phi_e(B_j, x)$  and thus the requirement  $R(e, i, j, 1)$  is satisfied.

*Case 2:* The  $n$ th requirement is  $R(e, i, j, 2)$ . In this case, we have  $A_{S(i)} \not\leq_T^{\text{hp}} A_{G(j)}$  since  $b_i \not\leq b_j$ . Thus, there is an  $x$  such that  $p_e(l_n) \leq |x|$  and  $A_{S(i)}(x) \neq \Phi_e(A_{G(j)}, x)$ . We define  $x$  and  $l_{n+1}$  as in case 1. We set  $C_i(z) = A_{S(i)}(z)$  and for each  $k$  with  $k \neq i$  set  $C_k(z) = 0$  on the interval  $\{z: l_n \leq |z| < l_{n+1}\}$ . Then  $B_j = A_{G(j)}$  on this interval. We have  $C_i(x) \neq \Phi_e(B_j, x)$  and thus the requirement  $R(e, i, j, 2)$  is satisfied.

To show that  $C_k$  so-defined satisfies  $C_k \leq_T^{\text{hp}} A_{S(k)}$ , let  $x$  be an arbitrary element of  $\Sigma^*$ . First, find the unique  $n$  such that  $l_n \leq |x| < l_{n+1}$ . This can be done by performing the above construction in  $|x|$  steps. Then if the  $n$ th requirement is  $R(e, i, j, 2)$ , then  $C_k(x) = A_{S(i)}(x)$  or  $C_k(x) = 0$  depending on whether  $k = i$  or not. Thus,  $C_k(x)$  is computed from  $A_{S(k)}$  in polynomial time. Another case is treated similarly. It is obvious that this reduction of  $C_k$  to  $A_{S(k)}$  is honest.

When some  $A_i$  is not recursive, the sequence  $\{l_n\}_n$  defined above is not necessarily recursive, which gives rise to an intrinsic obstacle to verify that  $C_k \leq_T^{\text{hp}} A_{S(k)}$ . Here we are assuming all  $A_i$ s are  $\Delta_2^0$ ; thus, by the limit lemma [12, III.3.3], there exists a recursive function  $f_i(x, s)$  such that  $f_i(x, s) \leq 1$  and

$$A_i(x) = \lim_s f_i(x, s).$$

Let  $A_{i,s}(x) = f_i(x, s)$ . In the above construction, we can make use of  $A_{i,s}$  in place of  $A_i$ . For example, in case 1, first find an  $s$  and  $x$  such that  $A_{i,s}(x) \neq \Phi_e(A_{G(j),s}, x)$ , then define  $l_{n+1}$  and  $C_k \upharpoonright \{z: l_n \leq |z| < l_{n+1}\}$  as before. The requirement  $R(e, i, j, 1)$  would be temporarily satisfied. However, it might happen at later  $t > s$  that  $A_{i,t}(x) = \Phi_e(A_{G(j),t}, x)$  and thus the requirement  $R(e, i, j, 1)$  would be injured. Then we must attack  $R(e, i, j, 1)$  again. But, it is not clear that each  $R(e, i, j, k)$  is injured only finitely often. Here we use the lowness of  $A_i$  to cope with this difficulty (see [4] or [5]).

Let  $p_e^x$  denote the interval  $\{y \in \Sigma^*: p_e^{-1}(|x|) \leq |y| \leq p_e(|x|)\}$  determined by polynomial  $p_e$ , and let  $\{\sigma_n\}_n$  denote a recursive enumeration of the finite functions  $\sigma$  such that  $\text{dom}(\sigma) = p_e^x$  for some  $e, x$  and  $\text{rng}(\sigma) \subseteq \{0, 1\}$ . Note that if  $\text{dom}(\sigma) \supseteq p_e^x$  then it is safe to write  $\Phi_e(\sigma, x)$  since on input  $x$ ,  $\Phi_e$  queries the oracle only on the elements of  $p_e^x$ . Define  $H_{i,j}$  and  $\hat{H}_{i,j}$  by

$$H_{i,j} = \{e': (\exists \langle x, n \rangle \in W_e)[x \in A_i \ \& \ \sigma_n = A_j \upharpoonright p_e^x \text{ for some } e']\},$$

$$\hat{H}_{i,j} = \{e': (\exists \langle x, n \rangle \in W_e)[x \notin A_i \ \& \ \sigma_n = A_j \upharpoonright p_e^x \text{ for some } e']\},$$

where  $W_e$  is the  $e$ th recursively enumerable set. Then since  $A_i \oplus A_j$  is low,  $H_{i,j}$  and  $\hat{H}_{i,j}$  are  $\Delta_2^0$ . Using the limit lemma, there are recursive functions  $h_{i,j}$  and  $\hat{h}_{i,j}$  such that

$$h_{i,j}(x, s) \leq 1, \hat{h}_{i,j}(x, s) \leq 1 \text{ and}$$

$$H_{i,j}(x) = \lim_s h_{i,j}(x, s) \quad \text{and} \quad \hat{H}_{i,j}(x) = \lim_s \hat{h}_{i,j}(x, s).$$

During the construction below, we shall define a strictly increasing sequence  $\{l_N\}_N$  and auxiliary functions  $l(s)$ ,  $t(N)$  and  $r(s)$ . When  $l(s) = l_N$ , the requirement  $R(t(N))$  will be attacked at stage  $s+1$ . We use  $c$  as a global counter. At the beginning of the construction,  $c$  is set to 0 and incremented by one at every step of the machine carrying out the construction other than those needed to increment the counter. Then, for each  $x$ , we define  $C_i$  by

$$C_i(x) = \begin{cases} A_{S(i)}(x) & \text{if } t(N) = (e, i, j, 2) \\ 0 & \text{otherwise,} \end{cases}$$

where  $N$  is the unique number such that  $l_N \leq |x| < l_{N+1}$ .

We shall also build recursive sequences  $\{V_s(e, i, j, k)\}_s$  and  $\{\hat{V}_s(e, i, j, k)\}_s$  of finite sets during the construction. Let  $V(e, i, j, k) = \bigcup_s V_s(e, i, j, k)$  and  $\hat{V}(e, i, j, k) = \bigcup_s \hat{V}_s(e, i, j, k)$ . Then  $V(e, i, j, k)$  and  $\hat{V}(e, i, j, k)$  are recursively enumerable. By the recursion theorem we may assume that we have in advance an index  $\theta(e, i, j, k)$  of  $V(e, i, j, k)$  and an index  $\hat{\theta}(e, i, j, k)$  of  $\hat{V}(e, i, j, k)$ , where  $\theta$  and  $\hat{\theta}$  are recursive. Thus,  $W_{\theta(e, i, j, k)} = V(e, i, j, k)$  and  $W_{\hat{\theta}(e, i, j, k)} = \hat{V}(e, i, j, k)$ . The sets  $V(e, i, j, k)$  and  $\hat{V}(e, i, j, k)$  will be so constructed that

$$V(e, i, j, 1) \subseteq \{ \langle x, n \rangle : (\exists s)[A_{i,s}(x) = 1 \ \& \ \Phi_e(\sigma_n, x) = 0 \ \& \ \sigma_n = A_{G(j),s} \upharpoonright p_e^x] \},$$

$$\hat{V}(e, i, j, 1) \subseteq \{ \langle x, n \rangle : (\exists s)[A_{i,s}(x) = 0 \ \& \ \Phi_e(\sigma_n, x) = 1 \ \& \ \sigma_n = A_{G(j),s} \upharpoonright p_e^x] \},$$

$$V(e, i, j, 2) \subseteq \{ \langle x, n \rangle : (\exists s)[A_{S(i),s}(x) = 1 \ \& \ \Phi_e(\sigma_n, x) = 0 \ \& \ \sigma_n = A_{G(j),s} \upharpoonright p_e^x] \},$$

$$\hat{V}(e, i, j, 2) \subseteq \{ \langle x, n \rangle : (\exists s)[A_{S(i),s}(x) = 0 \ \& \ \Phi_e(\sigma_n, x) = 1 \ \& \ \sigma_n = A_{G(j),s} \upharpoonright p_e^x] \}.$$

At each stage, the construction takes one of the three phases, *testing phase*, *waiting phase* and *checking phase*. If the construction is in testing phase, then we will attack a requirement in *LIST*, the list of uncertified requirements, with the highest priority. In waiting phase, we do nothing but only increase the counter. If a stage is in checking phase, we will check whether the requirements which have already been certified are still certified at the stage. We now give a formal construction.

### Construction

#### Stage 0

We set  $c=0$ . Set  $l(0)=l_0=0$  and  $V_0(e, i, j, k) = \hat{V}_0(e, i, j, k) = \emptyset$  for every  $(e, i, j, k)$ . Declare all requirements to be uncertified. Thus, *LIST* consists of all requirements. Set  $r(0)=t(0)=(e_0, i_0, j_0, k_0)$ , where  $R(e_0, i_0, j_0, k_0)$  is the first element of *LIST*. Declare 0 to be in testing phase.

Stage  $s+1$

Suppose  $l(s)=l_N$ .

Case 1:  $s$  is in testing phase.

Suppose  $R(t(N))$  is the requirement of the highest priority in *LIST*.

Case 1.1:  $t(N)=(e, i, j, 1)$ .

Case 1.1.1: There exists an  $x$  with  $p_e(l_N) \leq |x| < s+1$  such that one of the following holds:

(1)  $A_{i,s+1}(x)=1$  and  $\Phi_e(A_{G(j),s+1}, x)=0$ ,

(2)  $A_{i,s+1}(x)=0$  and  $\Phi_e(A_{G(j),s+1}, x)=1$ .

Then, take the least such  $x$ . If  $x$  satisfies (1) then set

$$V_{s+1}(e, i, j, 1) = V_s(e, i, j, 1) \cup \{ \langle x, n \rangle \},$$

otherwise set

$$\hat{V}_{s+1}(e, i, j, 1) = \hat{V}_s(e, i, j, 1) \cup \{ \langle x, n \rangle \},$$

where  $\sigma_n = A_{G(j),s+1} \upharpoonright p_e^x$ .

Case 1.1.1.1: One of the following holds:

(i)  $h_{i,G(j)}(\theta(e, i, j, 1), s+1)=1$  and

$(\exists \langle x, n \rangle \in V_{s+1}(e, i, j, 1)) [A_{i,s+1}(x)=1 \ \& \ \sigma_n = A_{G(j),s+1} \upharpoonright p_e^x]$ ,

(ii)  $\hat{h}_{i,G(j)}(\hat{\theta}(e, i, j, 1), s+1)=1$  and

$(\exists \langle x, n \rangle \in \hat{V}_{s+1}(e, i, j, 1)) [A_{i,s+1}(x)=0 \ \& \ \sigma_n = A_{G(j),s+1} \upharpoonright p_e^x]$ .

In this case, we say that the requirement  $R(e, i, j, 1)$  is *certified*. Set  $l_{N+1}$  to the current value of the counter  $c$ . Remove  $R(e, i, j, 1)$  from *LIST*. Declare  $s+1$  to be in waiting phase. Set  $l(s+1)=l(s)$ .

Case 1.1.1.2: Otherwise.

Set  $l(s+1)=l(s)$ . Do nothing else.

Case 1.1.2: Otherwise.

Set  $l(s+1)=l(s)$ . Do nothing else.

Case 1.2:  $t(N)=(e, i, j, 2)$ .

Case 1.2.1: There exists an  $x$  with  $p_e(l_N) \leq |x| < s+1$  such that one of the following holds:

(1)  $A_{S(i),s+1}(x)=1$  and  $\Phi_e(A_{G(j),s+1}, x)=0$ ,

(2)  $A_{S(i),s+1}(x)=0$  and  $\Phi_e(A_{G(j),s+1}, x)=1$ .

Take the least such  $x$ . If  $x$  satisfies (1) then set

$$V_{s+1}(e, i, j, 2) = V_s(e, i, j, 2) \cup \{ \langle x, n \rangle \},$$

otherwise set

$$\hat{V}_{s+1}(e, i, j, 2) = \hat{V}_s(e, i, j, 2) \cup \{ \langle x, n \rangle \},$$

where  $\sigma_n = A_{G(j),s+1} \upharpoonright p_e^x$ .

Case 1.2.1.1: One of the following holds:

(i)  $h_{S(i),G(j)}(\theta(e, i, j, 2), s+1)=1$  and

$(\exists \langle x, n \rangle \in V_{s+1}(e, i, j, 2)) [A_{S(i),s+1}(x)=1 \ \& \ \sigma_n = A_{G(j),s+1} \upharpoonright p_e^x]$ ,



- (ii)  $\hat{h}_{S(i), G(j)}(\hat{\theta}(e, i, j, 2), s+1)=1$  and  
 $(\exists \langle x, n \rangle \in \hat{V}_{s+1}(e, i, j, 2)) [A_{S(i), s+1}(x)=0 \ \& \ \sigma_n = A_{G(j), s+1} \upharpoonright p_e^x]$ .

In this case, we say that the requirement  $R(e, i, j, 2)$  is *certified*. Then, we set  $l_{N+1}$  to the current value of the counter  $c$ . Remove  $R(e, i, j, 2)$  from *LIST* and declare  $s+1$  to be in waiting phase. Set  $l(s+1)=l(s)$ .

Case 1.2.1.2: Otherwise.

Set  $l(s+1)=l(s)$ . Do nothing else.

Case 1.2.2: Otherwise.

Set  $l(s+1)=l(s)$ . Do nothing else.

Case 2:  $s$  is in waiting phase.

Case 2.1:  $s=l_{N+1}$ .

Set  $l(s)=l_{N+1}$ . Declare  $s+1$  to be in checking phase.

Case 2.2: Otherwise.

Set  $l(s+1)=l(s)$ . Do nothing else.

Case 3:  $s$  is in checking phase.

Case 3.1: There exists a requirement  $R(r)$  with  $r \leq r(s)$  such that one of the following holds:

- (1)  $r=(e, i, j, 1)$  for some  $e, i, j$  and the following (1.1) and (1.2) hold:

- (1.1)  $h_{i, G(j)}(\theta(r), s)=0$  and

$$(\forall \langle x, n \rangle \in V_s(r)) [A_{i, s}(x)=0 \ \vee \ \sigma_n \neq A_{G(j), s} \upharpoonright p_e^x],$$

- (1.2)  $\hat{h}_{i, G(j)}(\hat{\theta}(r), s)=0$  and

$$(\forall \langle x, n \rangle \in \hat{V}_s(r)) [A_{i, s}(x)=1 \ \vee \ \sigma_n \neq A_{G(j), s} \upharpoonright p_e^x].$$

- (2)  $r=(e, i, j, 2)$  for some  $e, i, j$  and the following (2.1) and (2.2) hold:

- (2.1)  $h_{S(i), G(j)}(\theta(r), s)=0$  and

$$(\forall \langle x, n \rangle \in V_s(r)) [A_{S(i), s}(x)=0 \ \vee \ \sigma_n \neq A_{G(j), s} \upharpoonright p_e^x],$$

- (2.2)  $\hat{h}_{S(i), G(j)}(\hat{\theta}(r), s)=0$  and

$$(\forall \langle x, n \rangle \in \hat{V}_s(r)) [A_{S(i), s}(x)=1 \ \vee \ \sigma_n \neq A_{G(j), s} \upharpoonright p_e^x].$$

In this case, we say that the requirement  $R(r)$  is *injured*. Take the least such  $r$  and put  $R(r)$  into *LIST*. Thus, the requirement  $R(r)$  is *uncertified* at this stage.

Declare  $s+1$  to be in testing phase. Set  $l(s+1)=l(s)$ .

Case 3.2: Otherwise.

Set  $r(s+1)$  so that  $R(r(s+1))$  is the next requirement after  $R(r(s))$ . Declare  $s+1$  to be in testing phase. Set  $l(s+1)=l(s)$ .

**End**

## Verification

Suppose  $x$  is an arbitrary element of  $\Sigma^*$ .  $C_i(x)$  is calculated from  $A_{S(i)}$  as follows. First, by carrying out the construction in  $|x|$  steps, we can find the unique  $N$  such that  $l_N \leq |x| < l_{N+1}$  and calculate the value of  $t(N)$ . Then if  $t(N)=(e, i, j, 2)$  for some  $e$  and

$j$  then  $C_i(x) = A_{S(i)}(x)$ ; otherwise,  $C_i(x) = 0$ . It is easy to see that this gives an hp-T reduction of  $C_i$  to  $A_{S(i)}$ .

To see the correctness of the construction, we show the following claims.

**Claim 4.1.** *If the construction is in testing phase at stage  $s$ , then it turns into waiting phase at some stage after  $s$ .*

**Proof.** Suppose  $l(s) = l_N$ . We consider only the case of  $t(N) = (e, i, j, 1)$  since another case is treated quite similarly. We assume that the requirement  $R(e, i, j, 1)$  is never certified after stage  $s$  and deduce a contradiction. Since  $a_i \not\leq b_j$ , we see that  $A_i \not\leq_T^{hp} A_{G(j)}$ . Thus, there is an  $x$  with  $p_e(l_N) \leq |x|$  such that  $A_i(x) \neq \Phi_e(A_{G(j)}, x)$ . Take the least such  $x$ , and let  $n$  be such that  $\sigma_n = A_{G(j)} \upharpoonright p_e^x$ . Suppose, for example, that  $A_i(x) = 1$  and  $\Phi_e(A_{G(j)}, x) = 0$ . Take a sufficiently large  $s_0 > s$  so that  $|x| \leq s_0$  and

$$(\forall t \geq s_0) [A_{i,t}(x) = A_i(x) \ \& \ A_{G(j),t} \upharpoonright p_e^x = A_{G(j)} \upharpoonright p_e^x].$$

Then since (1) of case 1.1.1 occurs at each stage  $t+1$  after  $s_0$ ,  $\langle x, n \rangle$  is eventually put into  $V(e, i, j, 1)$ . Thus, we have that  $\langle x, n \rangle \in V(e, i, j, 1) = W_{\theta(e, i, j, 1)}$ ,  $x \in A_i$  and  $\sigma_n = A_{G(j)} \upharpoonright p_e^x$ . It follows that  $\theta(e, i, j, 1) \in H_{i, G(j)}$  by the definition of  $H_{i, G(j)}$ . On the other hand, since we are assuming  $R(e, i, j, 1)$  is never certified after  $s$ , case 1.1.1.1 does not occur, which implies that  $h_{i, G(j)}(\theta(e, i, j, 1), t+1) = 0$  for all  $t \geq s_0$ . By taking the limit, we have  $\theta(e, i, j, 1) \notin H_{i, G(j)}$ . This is a contradiction.  $\square$

From the claim, we see that the sequence  $\{l_N\}_N$  is well defined.

**Claim 4.2.** *Each requirement is attacked only finitely often.*

**Proof.** Suppose  $R(e, i, j, k)$  is the requirement with the highest priority which is attacked infinitely often, say  $k = 1$ . Another case is the same. Then there is an  $s_0$  such that the requirements with higher priority than  $R(e, i, j, 1)$  are never attacked after  $s_0$ . Take a sufficiently large  $s_1 \geq s_0$ , so that

$$\begin{aligned} (\forall s \geq s_1) [h_{i, G(j)}(\theta(e, i, j, 1), s) &= H_{i, G(j)}(\theta(e, i, j, 1)) \\ &\& \ \hat{h}_{i, G(j)}(\hat{\theta}(e, i, j, 1), s) = \hat{H}_{i, G(j)}(\hat{\theta}(e, i, j, 1))]. \end{aligned}$$

There must be an  $s_2 \geq s_1$  such that  $R(e, i, j, 1)$  is injured at  $s_2$ . Then, we have  $h_{i, G(j)}(\theta(e, i, j, 1), s_2) = \hat{h}_{i, G(j)}(\hat{\theta}(e, i, j, 1), s_2) = 0$  (see case 3.1), and  $R(e, i, j, 1)$  is the first member of  $LIST$ . Thus,  $R(e, i, j, 1)$  is attacked at stage  $s_2 + 1$ . By Claim 4.1, it is certified at some stage  $s_3$  such that  $s_3 > s_2 + 1$ . Then we have  $h_{i, G(j)}(\theta(e, i, j, 1), s_3) = 1$  or  $\hat{h}_{i, G(j)}(\hat{\theta}(e, i, j, 1), s_3) = 1$ , which is obviously a contradiction.  $\square$

**Claim 4.3.** *Every requirement is satisfied.*

**Proof.** We show that the requirement  $R(e, i, j, 1)$  is satisfied. Another case is the same. First we prove that it must be the case that either  $H_{i, G(j)}(\theta(e, i, j, 1)) = 1$

or  $\hat{H}_{i,G(j)}(\hat{\theta}(e, i, j, 1))=1$ . Suppose, on the contrary, that  $H_{i,G(j)}(\theta(e, i, j, 1))=\hat{H}_{i,G(j)}(\hat{\theta}(e, i, j, 1))=0$ . Then we have

$$(\forall \langle x, n \rangle \in V(e, i, j, 1)) [A_i(x)=0 \vee \sigma_n \neq A_{G(j)} \upharpoonright p_e^x],$$

and

$$(\forall \langle x, n \rangle \in \hat{V}(e, i, j, 1)) [A_i(x)=1 \vee \sigma_n \neq A_{G(j)} \upharpoonright p_e^x].$$

Take a sufficiently large  $s_0$  so that

$$\begin{aligned} (\forall s \geq s_0) [h_{i,G(j)}(\theta(e, i, j, 1), s) &= H_{i,G(j)}(\theta(e, i, j, 1)) \\ &\& \hat{h}_{i,G(j)}(\hat{\theta}(e, i, j, 1), s) = \hat{H}_{i,G(j)}(\hat{\theta}(e, i, j, 1))]. \end{aligned}$$

By Claim 4.2, there is an  $s_1 \geq s_0$  such that the requirements with priority higher than or equal to  $R(e, i, j, 1)$  are never attacked after  $s_1$ . Since  $R(e, i, j, 1)$  is never attacked,  $V_s(e, i, j, 1)$  and  $\hat{V}_s(e, i, j, 1)$  are fixed, so they are finite. Thus, there is an  $s_2 \geq s_1$  such that, for every  $t \geq s_2$ ,

$$(\forall \langle x, n \rangle \in V_t(e, i, j, 1) \cup \hat{V}_t(e, i, j, 1)) [A_{i,t}(x) = A_i(x) \& A_{G(j),t} \upharpoonright p_e^x = A_{G(j)} \upharpoonright p_e^x].$$

It follows that, for every  $t \geq s_2$ ,  $h_{i,G(j)}(\theta(e, i, j, 1), t) = \hat{h}_{i,G(j)}(\hat{\theta}(e, i, j, 1), t) = 0$  and

$$(\forall \langle x, n \rangle \in V_t(e, i, j, 1)) [A_{i,t}(x) = 0 \vee \sigma_n \neq A_{G(j),t} \upharpoonright p_e^x],$$

and

$$(\forall \langle x, n \rangle \in \hat{V}_t(e, i, j, 1)) [A_{i,t}(x) = 1 \vee \sigma_n \neq A_{G(j),t} \upharpoonright p_e^x].$$

These together imply that  $R(e, i, j, 1)$  is injured at any stage  $t$  after  $s_2$ , which contradicts Claim 4.2.

Now suppose  $H_{i,G(j)}(\theta(e, i, j, 1))=1$ , and let  $\langle x, n \rangle$  be an element of  $V(e, i, j, 1)$  such that  $A_i(x)=1$  and  $\sigma_n = A_{G(j)} \upharpoonright p_e^x$ . Suppose  $\langle x, n \rangle \in V_{s+1}(e, i, j, 1) - V_s(e, i, j, 1)$ . Then  $A_{i,s+1}(x)=1$ ,  $\Phi_e(A_{G(j),s+1}, x)=0$  and  $\sigma_n = A_{G(j),s+1} \upharpoonright p_e^x$ . It follows that  $\Phi_e(A_{G(j),s}, x)=0$  since  $A_{G(j)} \upharpoonright p_e^x = A_{G(j),s+1} \upharpoonright p_e^x = \sigma_n$ . By the definition of  $C_k$ ,  $B_j = A_{G(j)}$  on  $p_e^x$ , which implies that  $A_i(x) \neq \Phi_e(B_j, x)$  as required.

Similarly, in the case of  $\hat{H}_{i,G(j)}(\hat{\theta}(e, i, j, 1))=1$ , we also obtain that  $A_i(x) \neq \Phi_e(B_j, x)$ .  $\square$

## 5. Remarks and problems

Ladner [9] proved that the p-T degrees of recursive sets are dense. For non-recursive sets, Homer [6, 7] showed that there is no minimal p-T degree: if  $A$  is not recursive, then the set  $\{x0^{2^{|x|}} : x \in A\}$  has the p-T degree between  $\deg_T^p(\emptyset)$  and  $\deg_T^p(A)$ . He left open the possibility that there are sets  $A$  and  $B$  with  $A <_T^p B$  such that there is no p-T degree between  $\deg_T^p(A)$  and  $\deg_T^p(B)$ . Downey [5] also asked whether any p-T degree has a minimal cover. We remark that Ladner's proof of the density of  $R_T^p$  can be applied to nonrecursive sets and that the p-T degrees of all sets are dense. This answers Downey's question negatively.

**Proposition 5.1.** *For any  $A, B$  with  $A <_T^p B$ , there is a set  $C$  such that  $A <_T^p C <_T^p B$ .*

**Proof.** Suppose  $A <_T^p B$ . We may assume that  $A = B \cap 0\Sigma^*$  since  $A \oplus B \equiv_T^p B$ . We define an increasing sequence  $\{l_s\}_s$  and a sequence  $\{C_s\}_s$  of finite functions by recursion, then we set  $C = \bigcup_s C_s$ .

We set  $l_0 = 0$  and  $C_0 = \emptyset$ .

Suppose  $l_s$  and  $C_s$  are defined, and suppose  $\text{dom}(C_s) = \{z : |z| < l_s\}$ .

*Case 1:  $s = 2e$ .* Let  $C_s * A$  denote the function defined by:  $C_s * A(z) = C_s(z)$  if  $|z| < l_s$ , and  $C_s * A(z) = A(z)$  otherwise. Let  $\Phi_e$  be the  $e$ th deterministic OTM with polynomial-time bound. Starting from the string  $0^{l_s}$ , we successively compute  $\Phi_e(C_s * A, x)$  and check whether  $B(x) = \Phi_e(C_s * A, x)$  or not, where the queries are done to  $B$  during this process. Then we find the first  $x$  such that  $|x| \geq l_s$  and  $B(x) \neq \Phi_e(C_s * A, x)$  since we are assuming  $A <_T^p B$ . Let  $l_{s+1}$  be  $l_s$  plus the number of steps needed to find this  $x$  and verify the inequality  $B(x) \neq \Phi_e(C_s * A, x)$ . We extend  $C_s$  as follows.  $\text{dom}(C_{s+1}) = \{z : |z| < l_{s+1}\}$  and  $C_{s+1}(z) = A(z)$  for  $l_s \leq |z| < l_{s+1}$ . Then we have the inequality  $B \neq \Phi_e(C)$ .

*Case 2:  $s = 2e + 1$ .* Similarly, we search for the least  $x$  such that  $|x| \geq l_s$  and  $B(x) \neq \Phi_e(A, x)$ , then define  $l_{s+1}$  as before. Let  $C_{s+1}$  be the extension of  $C_s$  defined by:  $C_{s+1}(z) = C_s(z)$  if  $|z| < l_s$  and  $C_{s+1}(z) = B(z)$  if  $l_s \leq |z| < l_{s+1}$ . Then we see that  $C \neq \Phi_e(A)$ .

The sequence  $\{l_s\}$  is recursive in  $B$ . To compute  $C$  from  $B$  in polynomial time, suppose  $x$  is given. We can find the unique  $s$  such that  $l_s \leq |x| < l_{s+1}$  by performing the construction in  $|x|$  steps. If  $s = 2e$  then  $C(x) = A(x)$ , and if  $s = 2e + 1$  then  $C(x) = B(x)$ . Thus, we have  $C \leq_T^p B$ .  $A \leq_T^p C$  since  $A = B \cap 0\Sigma^* = C \cap 0\Sigma^*$ .  $\square$

The same argument is applied to extend some of the results on the p-T degrees of recursive sets to the p-T degrees of all sets. For example, we can show the combined splitting and density theorem (see [1, Corollary 4.4]) for the p-T degrees of arbitrary sets. However, it is not clear whether Corollary 4.10 of [1] holds for nonrecursive sets. Thus, we ask whether every p-T degree of nonrecursive set bounds a minimal pair.

Since the p-T degrees of all sets are dense as we remark above, it might be expected that the  $\Pi_2$  theory of the p-T degrees of all sets coincides with that of  $\mathbf{R}_T^p$ . This has been left open in [11]. It is also not known whether the  $\Pi_2$  theory of the hp-T degrees of the  $\Delta_2^0$  sets is decidable.

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